

# Explicitly correlated potential energy surface of $\text{H}_3^+$ , including relativistic and adiabatic corrections

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# Introduction

$\text{H}_3^+$ : three nuclei, two electrons

The simplest *polyatomic* molecule.

Unlike two-electron *atoms* and two-electron *diatomic* molecules ( $\text{H}_2$ ),  $\text{H}_3^+$  has resisted an accurate quantum mechanical for decades.

The PES (potential energy surface) of  $\text{H}_3^+$  is now available with *submicrohartree accuracy* (error of about  $0.1 \mu E_h$  or  $0.02 \text{ cm}^{-1}$ ).  
(Cencek *et al.* 1998)

Highly sophisticated quantum chemical methods required Ansatz for the **electronic wave function, that depends explicitly on the interelectronic distance  $r_{12}$ .**

Two approaches:

(a) the **R12-method** (Kutzelnigg 1985, Kutzelnigg & Klopper 1991 ), a configuration-interaction (CI) method, augmented by terms linear in  $r_{12}$ .

(b) **exponentially correlated Gaussians** (or Gaussian geminals) (GG) (Rychlewski *et al.* 1994) in which the basis consists of Gaussians depending on  $\vec{r}_1$ ,  $\vec{r}_2$  and  $\vec{r}_{12}$ .

**Relativistic effects.** Lowest order of perturbation theory (Kutzelnigg 1989) is sufficient.

Experimental information on  $\text{H}_3^+$  is mainly in its **infrared spectrum** (Oka 1980, 2000). Challenge to reproduce and interpret the vibration-rotation term levels.

On the lowest nontrivial theoretical level (beyond the harmonic approximation) this requires the **PES in a sufficiently large neighborhood of the equilibrium structure**.

First step beyond the Born-Oppenheimer (BO) approximation: evaluation of the *adiabatic corrections*.

For full agreement between theory and experiment, and for unambiguous assignments, *non-adiabatic corrections* are necessary as well.

# Hückel theory (HMO)

The Hückel matrices for  $H_3^+$  in a cyclic (equilateral triangular) and an open (symmetric linear) structure

$$\begin{pmatrix} \alpha & \beta & \beta \\ \beta & \alpha & \beta \\ \beta & \beta & \alpha \end{pmatrix} \quad \begin{pmatrix} \alpha & \beta & 0 \\ \beta & \alpha & \beta \\ 0 & \beta & \alpha \end{pmatrix} \quad (1)$$

eigenvalues  $(\alpha + 2\beta, \alpha - \beta, \alpha - \beta)$  and  $(\alpha + \sqrt{2}\beta, \alpha, \alpha - \sqrt{2}\beta)$ .

Table 1: HMO energies

molecule		E
$H^+$		0
H		$\alpha$
$H_2$		$2\alpha + 2\beta$
$H_2^+$		$2\alpha + 2\beta$
$H_3^+$	cycl	$2\alpha + 4\beta$
$H_3^+$	lin	$2\alpha + 2\sqrt{2}\beta$
$H_3^{+*}$	cycl	$2\alpha + \beta$
$H_3^{+*}$	lin	$2\alpha + \sqrt{2}\beta$

Table 2: HMO binding energies

molecule		dissoc.	E(HMO)	E(exact)/ $[E_h]$
$H_2$		2 H	$2\beta$	0.174
$H_2^+$		H + $H^+$	$\beta$	0.102
$H_3^+$	cycl	$H_2 + H^+$	$2\beta$	0.170
$H_3^+$	lin	$H_2 + H^+$	$0.82\beta$	0.105
$H_3^{+*}$	cycl	$H_2^+ + H$	0	-
$H_3^{+*}$	lin	$H_2^+ + H$	$0.41\beta$	0.013

Hückel theory predicts a **binding energy**  $2\beta$  for  $H_3^+$  w.r.t.  $H_2 + H^+$ , **equal to that for  $H_2$  w.r.t.  $2 H$** , in good agreement with exact results.

Hückel theory also predicts a **stable excited state** of  $H_3^+$  with the two lowest MOs each singly occupied, and with the energy minimum in the **symmetric linear** geometry.

Hückel theory does **not distinguish between a singlet and a triplet state**, but for the triplet only the stability with respect to the lowest *triplet dissociation limit* ( $H_2^+ + H$ ) matters.

Table 3: HMO bond orders ( $p$ ) vs. bond lengths (in  $a_0$ )

molecule		$p$	$r_{interpol}$	$r_{exact}$
H <sub>2</sub>		1	1.4	1.40
H <sub>2</sub> <sup>+</sup>		0.5	2.0	2.00
H <sub>3</sub> <sup>+</sup>	cycl	0.667	1.70	1.65
H <sub>3</sub> <sup>+</sup>	lin	0.704	1.65	1.54
H <sub>3</sub> <sup>+*</sup>	cyl	-		
H <sub>3</sub> <sup>+*</sup>	lin	0.352	2.50	2.45

If we plot the **bond length** as function of the **Coulson-Hückel** bond order  $p$ , we can interpolate between H<sub>2</sub> and H<sub>2</sub><sup>+</sup> (and use that  $r = \infty$  for  $p = 0$ ). We get (in  $a_0$  units)

$$r = a + b/p; \quad a = 0.8; \quad b = 0.6 \quad (2)$$

Inserting  $p$ , we can predict  $r$  for for the two structures of H<sub>3</sub><sup>+</sup>.

Table 4: History (selective) of the accuracy for  $H_3^+$

year	Ground state energy	method	author
1963	-1.356	DIM	Ellison
1965	-1.299	SCF	Preuss
1964	-1.357	dubious	Conroy (1969: -1.347)
1964	-1.333	CI	Christoffersen
1967	-1.336	PNO-CI	Kutzelnigg <i>et al.</i>
1967	-1.338	CI	Schwarz & Schaad
1970	-1.340	CI	Czismadia
1973	-1.343 4	GG	Salmon & Poshusta
1974	-1.339	CI	Carney & Porter
1986	-1.343 4	CI	Meyer <i>et al.</i>
1990	-1.343 822	GG	Alexander <i>et al.</i>
1992	-1.343 822	GG	Frye <i>et al.</i>
1992	-1.343 835	MC	Anderson
1993	-1.343 835	R12	Röhse <i>et al.</i>
1995	-1.343 835 62	GG	Cencek <i>et al.</i>

$\text{H}_3^+$  is the prototype of a 3-center-2-electron bond. This bond is about twice as strong as the corresponding 2-center-2-electron bond.

$\text{H}_2$  has a substantial *proton affinity* (418 kJ/mol) and must hence be classified as a *base*.

Compared to other *basic* molecules in the gas phase, e.g.  $\text{H}_2\text{O}$  (160 kcal/mol = 670 kJ/mol) or ions,  $\text{H}_2$  is an *extremely weak* base. By far much higher proton affinities than for isolated molecules are found for hydrogen-bonded *liquids*, such as water.

The study of the *entire potential surface of  $\text{H}_3^+$  in view of an understanding of the ro-vibrational spectrum* started probably with Carney & Porter (1974).

An **important step in advance** was a paper by Meyer *et al.* (1986) It was based on traditional CI calculations (*not* including  $r_{12}$ -dependent terms).

**Very elegant selection of the points of the PES to be computed**, that was later followed by other authors (Röhse *et al.* 1994, Jaquet *et al.* 1998).

Meyer *et al.* have chosen 69 points of the PES, labeled by three integers  $n_a$ ,  $n_x$ , and  $n_y$ , which are related to the **Morse-type symmetry adapted deformation coordinates** (MSADC)  $S_a$ ,  $S_x$ , and  $S_y$

$$S_a = 0.15n_a = (\tilde{R}_{12} + \tilde{R}_{13} + \tilde{R}_{23})/\sqrt{3} \quad (3)$$

$$S_x = 0.15n_x = (2\tilde{R}_{12} - \tilde{R}_{13} - \tilde{R}_{23})/\sqrt{6} = S_e \cos \phi \quad (4)$$

$$S_y = 0.15n_y = (\tilde{R}_{23} - \tilde{R}_{13})/\sqrt{2} = S_e \sin \phi, \quad (5)$$

with

$$\tilde{R}_{kl} = \frac{1}{\beta} \left\{ 1 - \exp \left[ -\beta \left( \frac{R_{kl}}{R_{\text{ref}}} - 1 \right) \right] \right\}, \quad \beta = 1.3, \quad R_{\text{ref}} = 1.65 a_0. \quad (6)$$

These 69 points define a part of the whole PES from the minimum up to well above the barrier to linearity.

The functional form is chosen such that it can be used up to the asymptotic region ( $\text{H}^+ + \text{H}_2$ ,  $\text{H}+\text{H}+\text{H}^+$ ), but the most reliable part includes the energy-region up to  $\approx 20000 \text{ cm}^{-1}$  above the minimum.

The choice  $\beta = 1.3$  in (6) is recommended for a region close to the minimum, while  $\beta = 1.0$  has be used in the asymptotic region close to dissociation.

The essential progress in accuracy came with the use of explicitly correlated wave functions of the R12 and GG type, to be described now.

Monte-Carlo (MC) method is able to achieve microhartree accuracy for  $\text{H}_3^+$ . (Anderson 1992). Has not played a role for  $\text{H}_3^+$ .

# The R12-method

Quantum chemical standard method to include **electron correlation** is **configuration interaction** (CI).

For two-electron system one can factor out the spin. For a singlet state the spinfree CI function is

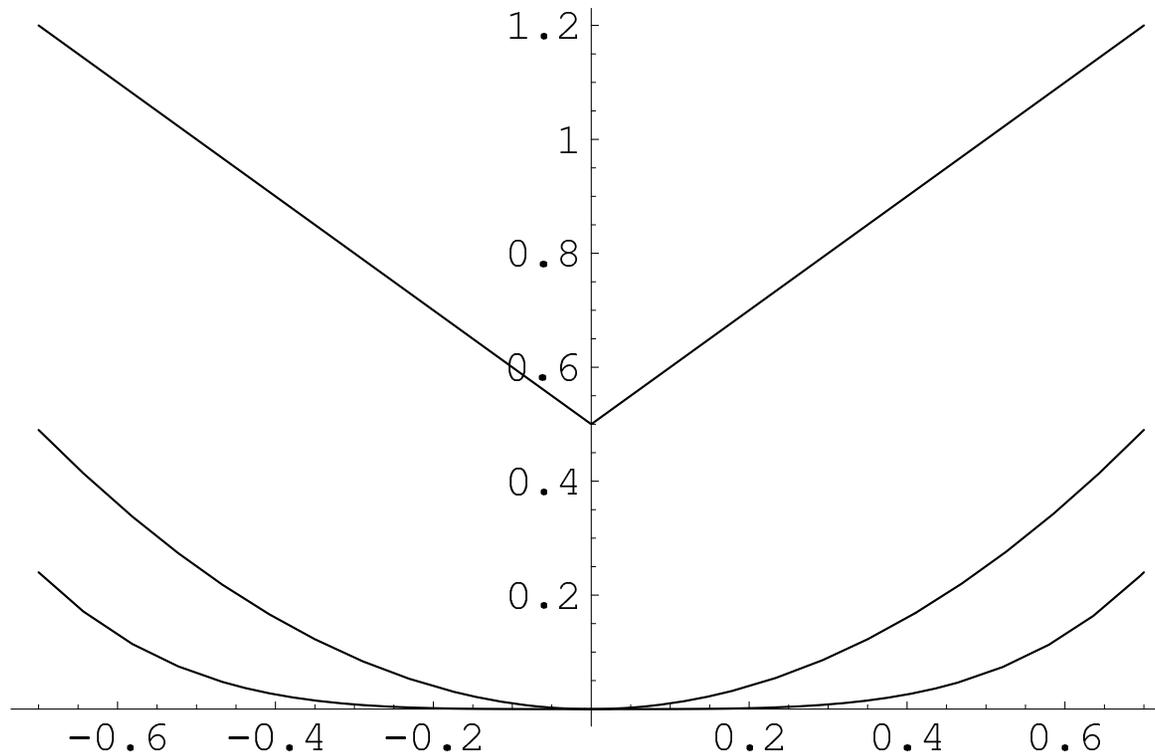
$$\Psi = \sum_{p,q} c_{pq} \varphi_p(1) \varphi_q(2); \quad c_{pq} = c_{qp} \quad (7)$$

**this expansion converges slowly.**

Actually, if  $L$  is the highest angular quantum number  $l$  of the  $\varphi_p$  included in the expansion (7), then the error goes as  $(L + 1)^{-3}$ .

This is due to fact that the exact 2-electron wave function has a *correlation cusp* at the point of coalescence of two electrons (Kato 1957).

Figure 1: Schematic plot of the correlation cusp (Coulomb hole, upper curve), the Fermi hole (middle curve) and the hole for unnatural-parity singlet states (lower curve). The wavefunction is displayed as function of  $r_{12}$ .



There the **wave function is linear in  $r_{12}$** , which cannot be described by a CI wave function. A considerable **speed-up** of the convergence is obtained if one replaces (7) by

$$\Psi = c_0 r_{12} \Phi(1, 2) + \sum_{pq} c_{pq} \phi_p(1) \phi_q(2); \quad c_{pq} = c_{qp} \quad (8)$$

where  $\Phi(1, 2)$  is a simple product-type *reference function*, e.g. an eigenfunction of the bare-nuclear Hamiltonian, i.e. the Hamiltonian for two non-interacting electrons in the field of two protons.

Table 5: Convergence of the  $l$ -expansion for  $H_3^+$

Basis	conventional CI	CI-R12
30 s	<b>-1.311</b> 981	<b>-1.334</b> 632
30 s 20 p	<b>-1.341</b> 332	<b>-1.343</b> 913
30 s 20 p 12 d	<b>-1.343</b> 338	<b>-1.343</b> <b>835</b>
30 s 20 p 12 d 9 f	<b>-1.343</b> 656	<b>-1.343</b> <b>835</b>

Now the error goes as  $(L + 1)^{-7}$ .

The implementation of this ansatz **requires** in addition to the traditional electron repulsion integrals

$$\langle \phi_p(1)\phi_q(2) | \frac{1}{r_{12}} | \phi_r(1)\phi_s(2) \rangle \quad (9)$$

also the **integrals** (with  $T$  the operator of the kinetic energy)

$$\langle \phi_p(1)\phi_q(2) | r_{12} | \phi_r(1)\phi_s(2) \rangle; \quad \langle \phi_p(1)\phi_q(2) | [T, r_{12}] | \phi_r(1)\phi_s(2) \rangle \quad (10)$$

With a (30s,20p,12d,9f) basis a ground state energy  $E = -1.343835 E_h$  at the (equilateral triangular) equilibrium geometry has been obtained, with **microhartree accuracy** (Röhse *et al.* 1994).

Omitting the  $f$ -functions in the basis, one gets errors of a few microhartrees. The size of the  $s, p, d$  is not so critical.

The published PES (Röhse *et al.* 1994) was performed with a (16s10p8d) basis contracted to (10s8p6d), but for some points additional calculations with a contracted (10s8p6d4f) basis were performed.

More recent calculations on an extended region of the PES (Polyanski *et al.* 2000, Jaquet 2002) were done with the latter basis.

# The method of exponentially correlated Gaussians

In the Gaussian geminal (GG) method (Rychlewski *et al.* 1994) for a 2-electron system in a singlet state one makes the following ansatz for the spinfree electronic wave function (Cencek *et al.* 1998):

$$\Psi = \sum_{i=1}^K c_i \phi_i \quad (11)$$

$$\phi_i = (1 + P_{12}) \exp(-\alpha_{1i} |\vec{r}_1 - A_i|^2 - \alpha_{2i} |\vec{r}_2 - B_i|^2 - \beta_i r_{12}^2) \quad (12)$$

All non-linear parameters  $\alpha_{1i}$ ,  $\alpha_{2i}$ , and  $\beta_i$ , as well as the reference positions  $A_i$  and  $B_i$  are **optimized consecutively in various cycles**.

The optimization is stopped, when the gain in one cycle was less than  $10^{-8}$  Hartree.

The expansion length was  $K=1300$ . Energy obtained at the equilibrium geometry  $E = -1.343835518 E_h$  was compared with the estimate for the exact value  $E = -1.343835624 E_h$  (Cencek *et al.* 1995), i.e. the error is of the order 0.1 microhartree or  $0.02 \text{ cm}^{-1}$ .

Unlike with the R12 method, one does, with Gaussian geminals, not describe the correlation cusp, i.e. the linear dependence of the wave function on  $r_{12}$  for  $r_{12} \rightarrow 0$  correctly.

The excellent convergence is not immediately understandable.

Probably related to the satisfactory convergence of the expansion of one-electron-functions in a Gaussian basis (Kutzelnigg 1994).

Precursors of the refined application of the Gaussian geminal method were studies by (Salmon & Poshusta 1973, Alexander *et al.* 1990). Less sophisticated optimization of the non-linear parameters than later (Cencek *et al.* 1998).

## Relativistic corrections

Relativistic corrections by means of **direct perturbation theory** (DPT) (Kutzelnigg 1989).

The result of DPT differs from that of the approximation (Bethe & Salpeter 1957) in a **correction term**  $\Delta_{DPT}$  that takes care of a **faster convergence** of the overall result with extension of the basis, but which is not very important in the present case, since the non-linear parameters are well optimized.

The relativistic corrections involve expectation values of  $c^{-2}$  times the the following operators:

$$H_1 = -\frac{1}{2}(T_1^2 + T_2^2) \quad (13)$$

$$H_2 = -\frac{1}{2} \frac{1}{r_{12}} \left( \vec{p}_1 \vec{p}_2 + \frac{\vec{r}_{12} (\vec{r}_{12} \vec{p}_1) \vec{p}_2}{r_{12}^2} \right) \quad (14)$$

$$H_4 = \frac{\pi}{2} \left( \sum_A Z_A [\delta(r_{1A}) + \delta(r_{2A})] - 2\delta(r_{12}) \right) \quad (15)$$

$$H_5 = 2\pi\delta(r_{12}) \quad (16)$$

$$\Delta_{DPT} = \frac{1}{2} T(H_0 - E_0) \quad (17)$$

# Adiabatic corrections

In the spirit of the **Born-Oppenheimer approximation** one first constructs a **potential energy surface for clamped nuclei** and one considers then the motion of the **nuclei** in this potential.

Not rigorous, nuclei have finite masses, which require corrections to the Born-Oppenheimer separation. Two types of corrections:

- (a) the *adiabatic* or *diagonal* corrections, and
- (b) the *non-adiabatic* or *non-diagonal* corrections.

Traditionally one first separates the **center-of-mass motions** (translation and rotation) from the relative motion and evaluates then the adiabatic corrections in terms of relative nuclear coordinates.

This leads to rather complicated expressions.

Handy *et al.* (1986, 1996) have proposed a much simpler approach, in which the center-of-mass motion is **not** separated off.

An *a-posteriori* justification is possible (Kutzelnigg 1997, see also Herman & Ashgarian 1966, Watson 1973)

The **adiabatic correction**  $E_{ad}$  is essentially the **expectation value of the kinetic energy of the nuclei**, evaluated with the electronic wave function (exploiting the parametric dependence of the latter on the nuclear coordinates).

$$E_{ad} = - \sum_{Q_i} \frac{1}{2M_i} \int \Psi \frac{\partial^2}{\partial Q_i^2} \Psi d\tau \quad (18)$$

It is a **mass-dependent correction** to the PES.

Table 6: Fundamentals for  $\text{H}_2\text{D}^+$ , in  $\text{cm}^{-1}$

	BO	adiabat	exp
$\nu_1$	2 993.75	2 992.38	2 992.49
$\frac{1}{2}(\nu_2 + \nu_3)$	2 270.72	2 270.68	2 270.66
$\nu_2 - \nu_3$	128.70	129.47	129.58

Table 7: Fundamentals for  $\text{HD}_2^+$ , in  $\text{cm}^{-1}$

	BO	adiabat	exp
$\nu_1$	2 737.84	2 736.87	2 736.98
$\frac{1}{2}(\nu_2 + \nu_3)$	2 023.44	2 023.26	2 023.30
$\nu_2 - \nu_3$	111.58	110.19	110.26

It has often been recommended to interpret the **atomic energy unit (hartree) as a *reduced unit***, the equivalent of which in standard units (e.g. kJ/mol or  $\text{cm}^{-1}$ ) depends on the nucleus (by choosing the **reduced mass** rather than the genuine electronic mass).

This choice makes only sense for atoms, and **should not be used if one takes care of adiabatic corrections in the way indicated here (Kutzelnigg 1997)**. This would lead to a double-counting.

## Non-adiabatic effects

For a correct evaluation of non-adiabatic effects one would have to consider the coupling of several electronic states.

Non-adiabatic effects cannot simply be taken care of by a correction to the PES.

For the H<sub>2</sub> molecule both the adiabatic and the non-adiabatic effects on the vibration frequencies are known rather accurately. The two effects are of comparable magnitude (Kolos *et al.* 1993).

Table 8: Adiabatic and non-adiabatic corrections to fundamental frequencies

	$\nu$	adiabat.	non-ad.
H <sub>2</sub>	4161.2 cm <sup>-1</sup>	-1.4 cm <sup>-1</sup>	-0.9 cm <sup>-1</sup>
HD	3632.2 cm <sup>-1</sup>	-1.0 cm <sup>-1</sup>	-0.6 cm <sup>-1</sup>
D <sub>2</sub>	2993.6 cm <sup>-1</sup>	-0.8 cm <sup>-1</sup>	-0.3 cm <sup>-1</sup>

Origin of the non-adiabatic correction to vibrational (rotational) frequencies is the partial participation of the electrons in the vibrational (rotational) motion. (Bunker & Moss 1977, Watson 1980, Moss 1996).

Simulate this effect by a change of the moving mass. For a full participation of the electrons one must – in neutral systems – replace the nuclear mass, that enters the Born-Oppenheimer approximation, by the atomic mass.

For  $\text{H}_3^+$  : the mass of a proton plus two thirds of that of an electron.

Effective vibrational and rotational masses are different, the participation of the electrons in the rotation is more quenched than that in the vibration.

The non-adiabatic effects are of the order of a few tenths of a  $\text{cm}^{-1}$ , significantly smaller than for  $\text{H}_2$ .

Excellent agreement between theoretical and experimental term values (errors of a few hundredth to a few tenths of a  $\text{cm}^{-1}$ ) if one uses the **atomic mass for vibration, and the nuclear mass for rotation** (Jaquet 1999).

In the region of **avoided crossings** the much larger *first-order* non-adiabatic effects must be considered.

## Characterization of the $\text{H}_3^+$ ground state

Equilibrium geometry:

equilateral triangle (symmetry  $D_{3h}$ )  $r_e = 1.6500 a_0 = 0.8747 \text{ \AA}$ .

Binding energy

$D_e = 0.3438 E_h = 902.6 \text{ kJ/mol} = 75\,454 \text{ cm}^{-1}$  w.r.t.  $2 \text{ H} + \text{H}^+$

$D_e = 0.1694 E_h = 444.8 \text{ kJ/mol} = 37\,178 \text{ cm}^{-1}$  w.r.t.  $\text{H}_2 + \text{H}^+$ .

Harmonic frequencies:  $3\,437.5 \text{ cm}^{-1}$  ( $A_1$ );  $2\,775.0 \text{ cm}^{-1}$  ( $E$ ).

Lowest transitions:  $3\,178.3 \text{ cm}^{-1}$  ( $A_1$ );  $2\,521.3 \text{ cm}^{-1}$  ( $E$ ).

Strong anharmonicity, nuclear motion by quantum mechanics.

Overtone and combination terms are classified by the occupation of  $\nu_1$  and  $\nu_2$ , and an angular quantum number  $l_2$ .

Vibrational zero-point energy:

$$19.87 mE_h = 4361.4 \text{ cm}^{-1}. \text{ (H}_2\text{: } 2180.2 \text{ cm}^{-1}\text{)}$$

Rotational zero-point energy:  $64.13 \text{ cm}^{-1}$

Dissociation energy:  $D_0 = 34\,923.6 \text{ cm}^{-1}$  w.r.t.  $\text{H}_2 + \text{H}^+$ .

Saddle point: symmetric linear structure  $r_e = 1.5391a_0$

$$0.278682 E_h = 731.693 \text{ kJ/mol} = 61\,162 \text{ cm}^{-1} \text{ below } 2 \text{ H} + \text{H}^+$$

$$22\,886 \text{ cm}^{-1} \text{ below } \text{H}_2 + \text{H}^+$$

$$0.065151 E_h = 171.057 \text{ kJ/mol} = 14\,299 \text{ cm}^{-1} \text{ above the } D_{3h} \text{ minimum.}$$

Minimum energy path corresponds to dissociation into  $\text{H}^+ + \text{H}_2$ .

One cannot exclude the possibility of a dissociation into  $\text{H} + \text{H}_2^+$ .

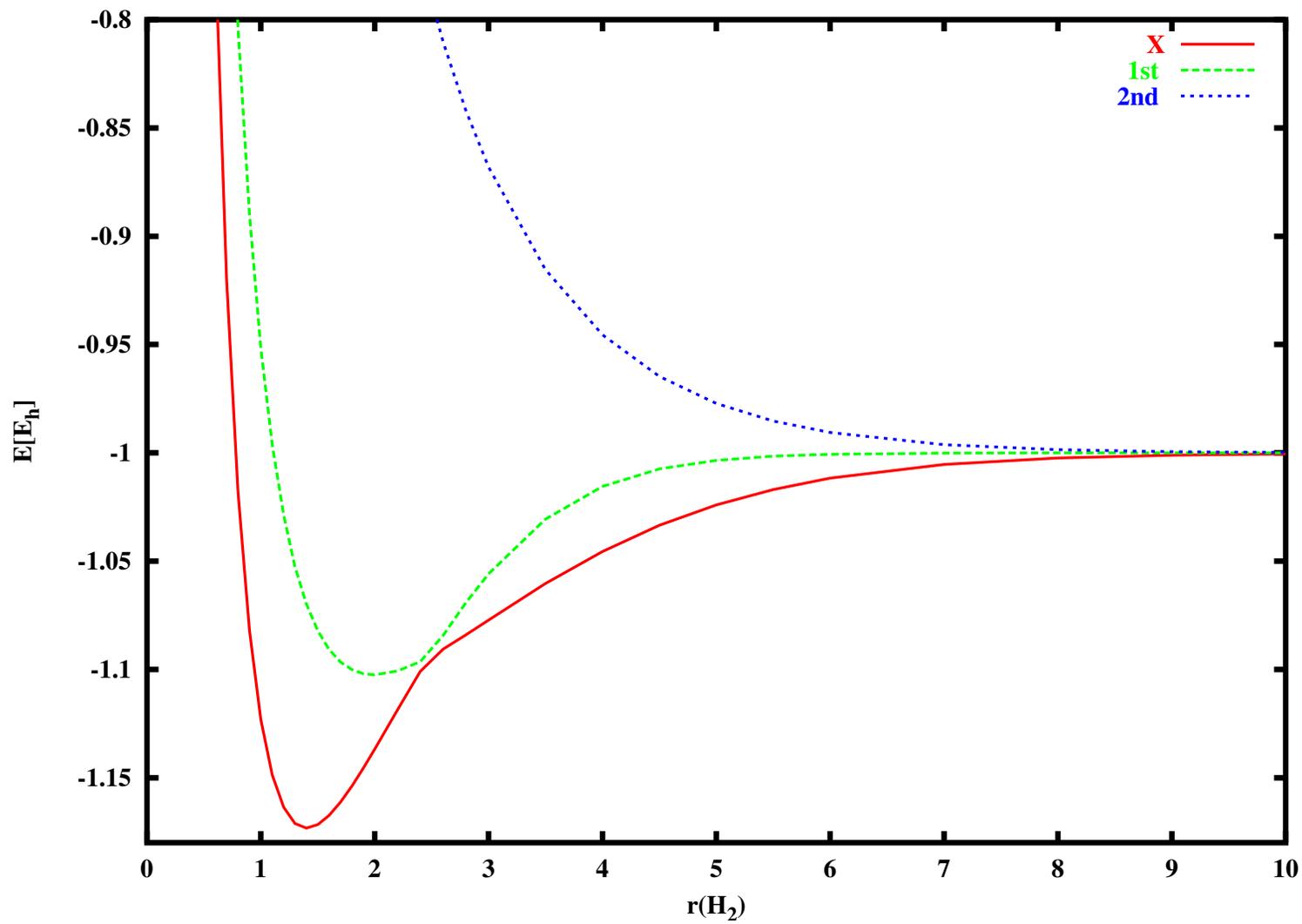


Figure 2: Cut through the PES of  $\text{H}_3^+$  for  $R \rightarrow \infty$

Crossing of the two curves at  $r = 2.5017a_0$ .

If one changes the Jacobi coordinate  $R$  (which measures the distance between H and H<sub>2</sub>) from  $\infty$  to finite values, the **crossing becomes avoided**.

The ground state of H<sub>3</sub><sup>+</sup> changes, near  $r = 2.5a_0$ , from the *diabatic* state characterized as H<sup>+</sup> + H<sub>2</sub> to the charge transfer type *diabatic* state characterized as H + H<sub>2</sub><sup>+</sup>.

Close to this crossing extremely large adiabatic corrections (Jaquet 1999, 2002). A dynamic treatment requires to take care of both states.

Upper adiabatic state switches between the complementary diabatic states. See early DIM (diatomics in molecules) studies of H<sub>3</sub><sup>+</sup> (Tully & Preston 1971).

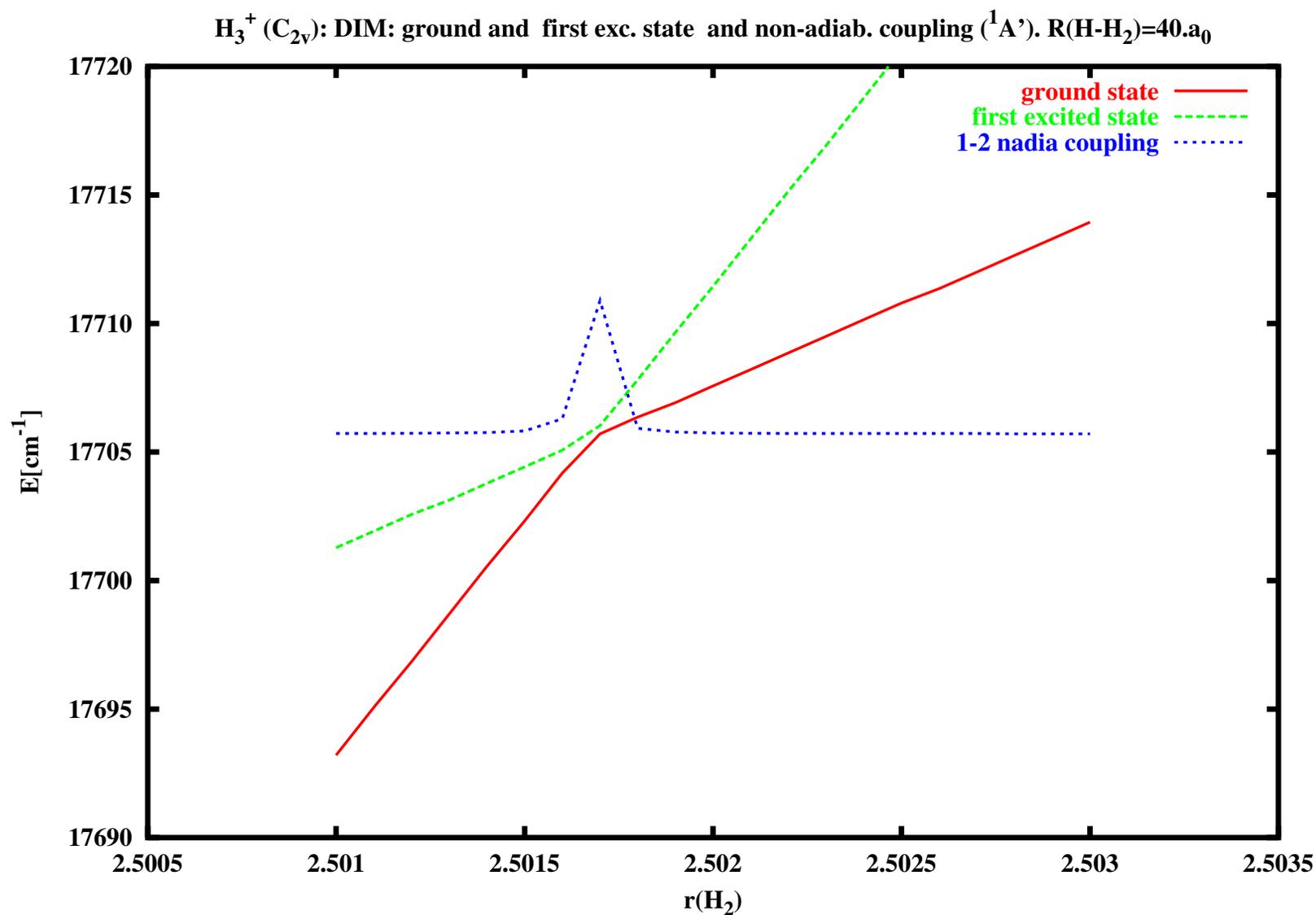


Figure 3: Non-adiabatic couplings in the region of the avoided crossing

## Excited states of $H_3^+$

Schaad and Higgs (1974):  $H_3^+$  has only two bound states, namely the singlet ground state and an excited triplet state. Even Hückel theory predicts a bound excited state of  $H_3^+$  with linear equilibrium geometry.

See the lecture by Alijah on the bound triplet state of  $H_3^+$ .

Triplet less demanding from point of view of quantum chemistry.

Triplet wave functions vanish at the point of coalescence of two electrons. Cusp of higher order, error of a conventional partial wave expansion goes as  $(L + 1)^{-5}$  rather than as  $(L + 1)^{-3}$ , as it does for singlet states.

CI without explicit inclusion of  $r_{12}$ -dependent terms usually sufficient.

In  $D_{3h}$  symmetry the triplet state has the configuration  $a_1e$ . As an  $E$  state it is degenerate and subject to a **Jahn-Teller splitting**, there are actually two PES which cross at  $D_{3h}$  symmetry, such that we have two PESs separated by a **conical intersection**.

The lower sheet has its minimum for symmetric linear geometry as predicted by Hückel theory, with  $r = 2.4537a_0$  (Cernay *et al.* 2003).

This state dissociates into the **lowest triplet asymptote** H and  $H_2^+$ .

Binding energy:  $D_e = 13.47 mE_h = 2957 \text{ cm}^{-1}$  w.r.t. H +  $H_2^+$ .

$C_{2v}$  saddle point  $2.03 mE_h = 446 \text{ cm}^{-1}$  below the asymptote, or  $0.012031 E_h = 2600 \text{ cm}^{-1}$  above the minimum.

One expects a **singlet counterpart** of this triplet state. In regions where the subunits H and  $H_2^+$  are far from each other, the exchange integral is small and the singlet state should only be slightly above the triplet state.

The excited singlet state should also have a **Jahn-Teller splitting** and a **conical intersection**.

Unpublished results (**Jaquet**): a shallow minimum, but no indication of a bound zero point vibrational level.

**Avoided crossing with the ground state**, near the dissociation asymptote.

Does  $H_3^+$  have **Rydberg states**? This is related to the question whether there is a **stable configuration of  $H_3^{2+}$**  that can serve as the core for an outer electron.

Absolute minimum for  $H_3^{2+}$  probably a  $H_2^+$  in its equilibrium and a proton at infinite distance. Rydberg states rather unlikely.

# Analytic fit and accuracy of the potential energy surface

Analytic fit to the computed points of the potential surface is necessary.

Fit should be as accurate as are the computed points. It should interpolate smoothly, and not introduce spurious oscillations.

It should extrapolate reasonably to asymptotic regions.

Starting with Meyer *et al.* (1986) the Morse-type symmetry adapted deformation coordinates (MSADC) (3) to (5) became standard, namely as  $S_a$ ,  $S_e$ , and  $\phi$ . The energy is then expanded as a polynomial

$$V = \sum_{n,m,k} V_{n,m,k} S_a^n S_e^{m+k} \cos(k\phi), \quad n + m + k \leq N_{max} \quad (19)$$

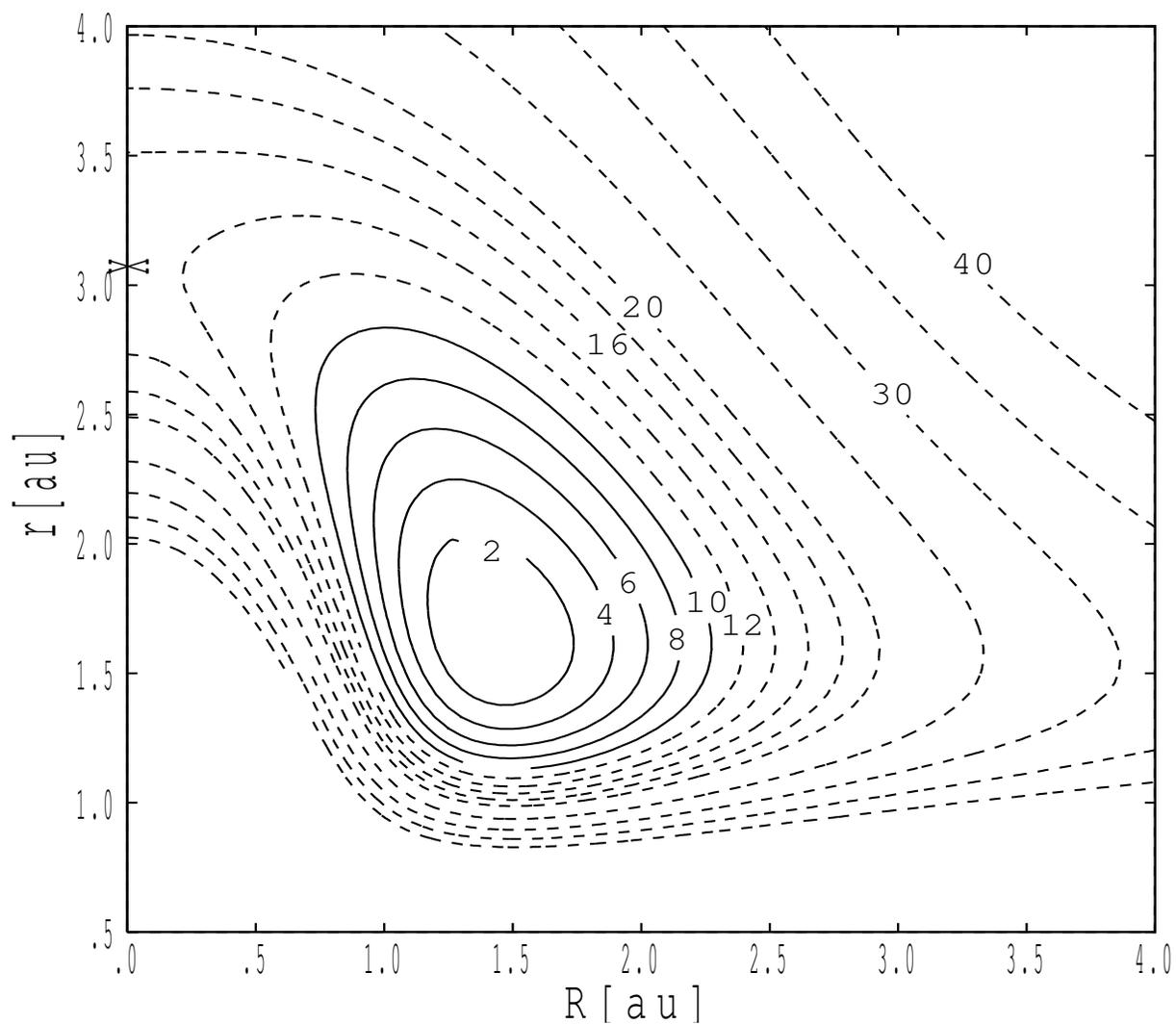


Figure 4: Plot of the PES of  $\text{H}_3^+$  near the minimum in  $C_{2v}$ -symmetry, in terms of the Jacobi coordinates  $r$  and  $R$  in units of  $a_0$ . The linear saddle point is marked by  $X$ .

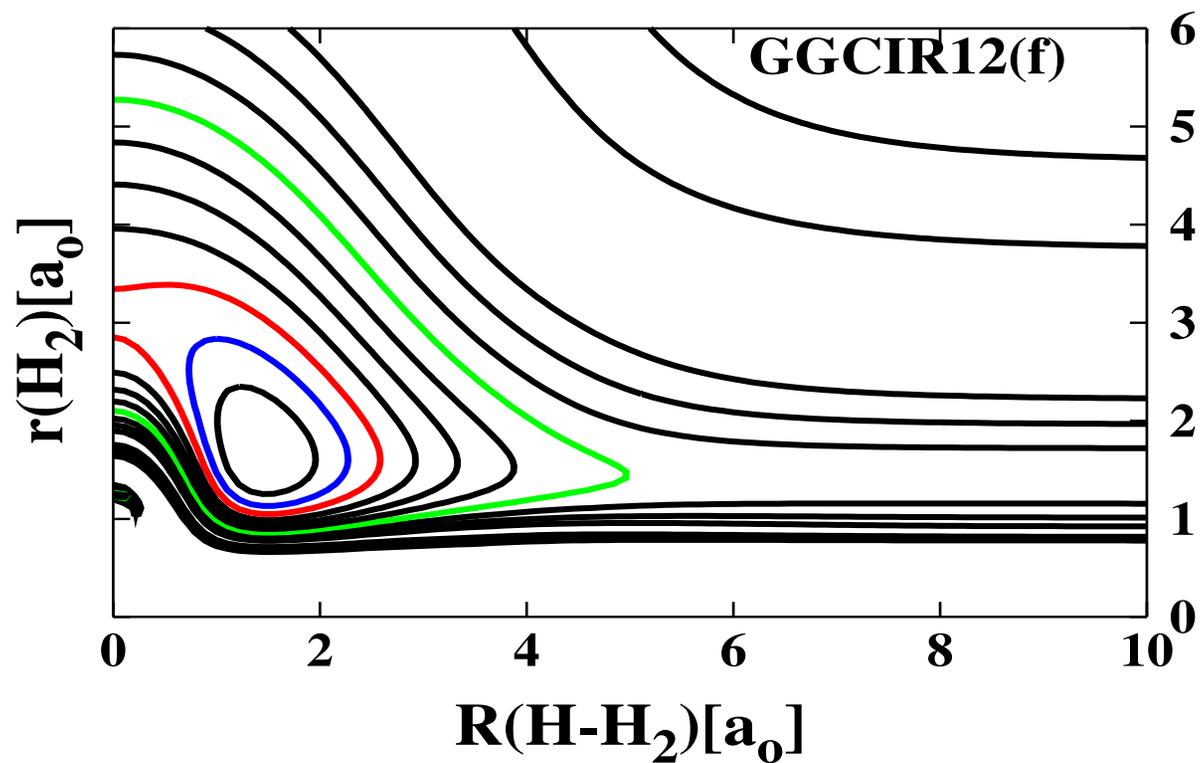


Figure 5: Plot of the PES of  $\text{H}_3^+$  in  $C_{2v}$ -symmetry, in a larger range in terms of the Jacobi coordinates  $r$  and  $R$  in units of  $a_0$ .

Choice between a single fit for the total energy, and **individual fits for the various contributions** to the energy, that are then added up.

The **separation of the fits** has the advantage that the mass dependence of the adiabatic corrections is easily taken care of.

In the neighborhood of the minimum, the **adiabatic corrections** vary along the PES between 90 and 140  $\text{cm}^{-1}$ , with a contribution of electron correlation to these corrections between 10 and 14  $\text{cm}^{-1}$ , but they become very large for  $R > 4a_0$ .

**Relativistic corrections** negative and of the order of a few  $\text{cm}^{-1}$ .

Alternatives to standard polynomial fit: (a) the **many-body expansion** (Varandas 1995) (b) the **reproducing kernel Hilbert space method** (RKHS), (Ho and Rabitz 1996), applied to the points computed by Röhse *et al.* (1994), (c) the **Shepard interpolation** (Collins 2002)

Table 9: Vibrational band origins of  $\text{H}_3^+$  in  $\text{cm}^{-1}$

symmetry	label	BO	adiabat	non-ad.	exp.
$A_1$	$\nu_1$	3179.35	3178.68	3178.139	(3178.290)
	$\nu_2^2(0)$	4778.39	4778.75	4778.389	(4778.350)
$E$	$\nu_2$	2521.59	2521.62	2521.416	2521.413
	$\nu_2^2(2)$	4998.40	4998.53	4998.130	4998.050
	$\nu_1\nu_2$	5555.49	5554.85	5554.151	(5554.155)
	$\nu_2^3(1)$	7006.22	7006.86	7006.344	(7006.187)
	$\nu_1\nu_2^2(2)$	7871.70	7871.05	7870.206	7870.016
$A_2$	$\nu_2^3(3)$	7493.86	7493.82	7493.210	7492.913

'BO' means calculations with nuclear masses on a BO surface

'adiabat' stands for calculations with nuclear masses on a surface with adiabatic corrections.

'non-ad.' means calculations with atomic masses (nucleus + 2/3 electrons) – to simulate non-adiabatic effects – on a surface with adiabatic corrections.

Experimental results from [Lindsay & McCall, unpublished](#). Values in parentheses are not directly from the IR spectrum of  $\text{H}_3^+$ .

Shall one *improve* computed PSE by **adjustable parameters** to be fitted to experimental data (Dinelli *et al.* 1994)?.

It is usually possible to adjust even a poor surface, (provided it contains sufficiently many parameters) such that it reproduces all experimental data.

This may help to make predictions, but hardly leads to physical insight. For a meaningful empirical adjustment, two conditions must be satisfied:

- (a) The error in the ro-vibrational spectrum must be a **direct consequence of the inaccuracy of the surface**, and e.g. not be due to non-adiabatic effects.
- (b) All assignments in the observed spectrum must be beyond doubt.

To account for the low-lying IR frequencies (up to  $8000\text{ cm}^{-1}$ ) the 69 MBB points (Meyer *et al.* 1986) together with the polynomial fit (19) and the program TRIATOM (Tennyson & Sutcliffe 1984, Tennyson & Miller 1989) for the nuclear dynamics turned out to be sufficient.

The agreement between theoretical and experimental ro-vibronic frequencies is quite good (see table 9). This even holds for intensities, that have been evaluated recently (Jaquet 1999, 2003).

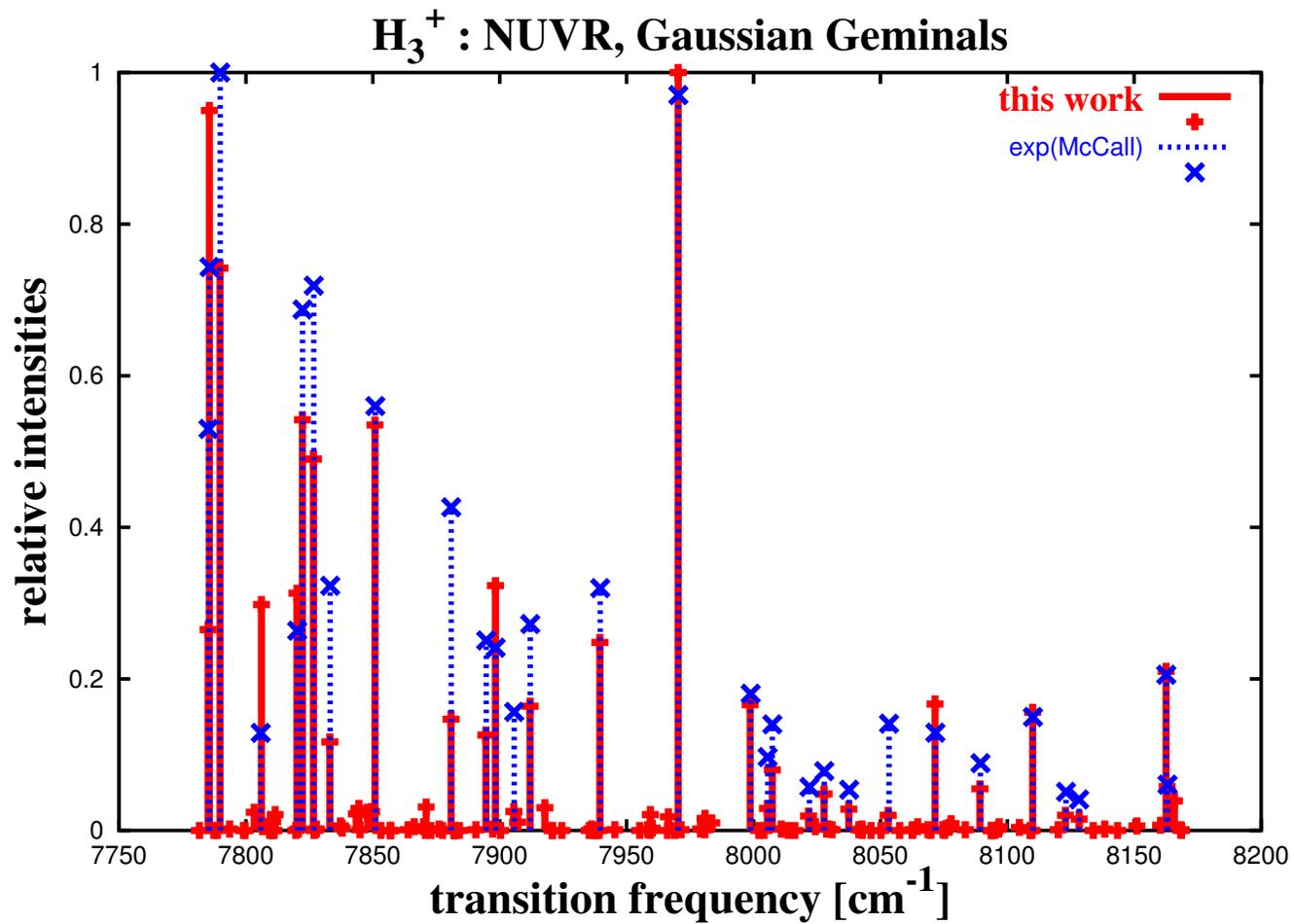


Figure 6: Comparison of computed and measured intensities

For the the spectrum in the higher frequency region **many more points** are necessary.

There appears further the need to test **different fits** and an **alternative treatment of the nuclear dynamics**, by means of e.g

**DVR** methods (*Tennyson et al. 1993, Bramley et al. 1994*),

**hyperspherical coordinates** (*Alijah et al. 1995*)

**finite elements** (*Jaquet unpublished*),

especially in the region close to and above the **barrier to linearity**.

Near the dissociation limit, one must consider the nuclear motion on the **two singlet surfaces** that have an avoided crossing for large  $R$ .

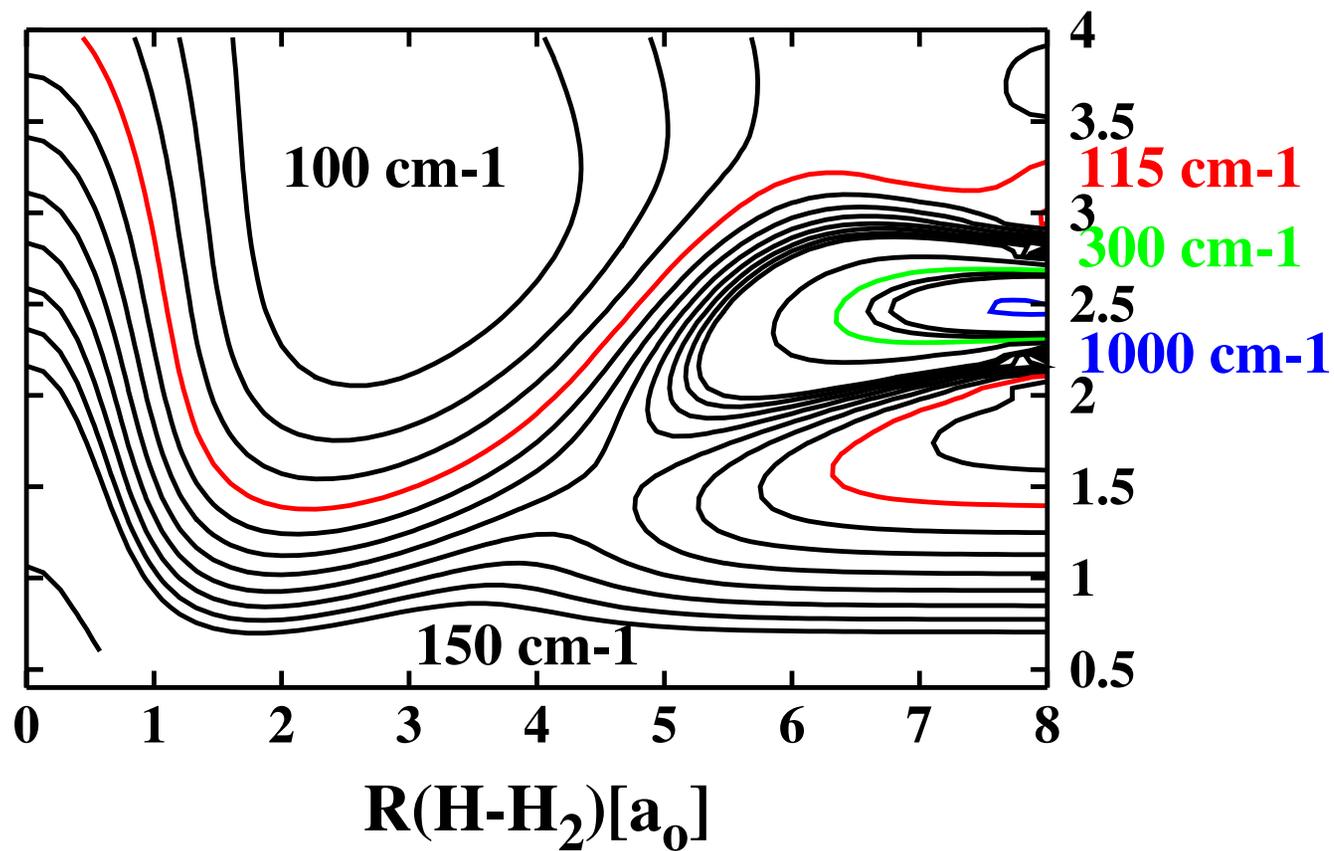


Figure 7: Adiabatic corrections in  $C_{2v}$  symmetry

## A few words on $H_5^+$

Under the conditions under which  $H_3^+$  is present, also addition complexes  $H_3^+(H_2)_n$  are stable. Among these the ion  $H_5^+$  plays a special role.

$H_3^+$  is the prototype of a 3-center-2-electron bond,

$H_5^+$  represents a 5-center-4-electron bond.

Bond between  $H^+$  and  $H_2$  particularly strong:

$$D_e = 169mE_h = 444kJ/mol = 37091 \text{ cm}^{-1}.$$

Attachment of  $H_3^+$  to  $H_2$ :  $D_e = 13.7 mE_h = 35.9 \text{ kJ/mol} = 3007 \text{ cm}^{-1}$ .

Although  $H_5^+$  has only 4 electrons, it is from the point of view of Quantum Chemistry **much harder** than the 2-electron system  $H_3^+$ .

Both the R12 method and the Gaussian geminal method can be applied to  $H_5^+$ , but the accuracy that can be achieved, is much more modest than for  $H_3^+$ . So calculations with explicitly correlated wave functions were only performed for the most important stationary points of  $H_5^+$  (Müller & Kutzelnigg 2000).

One of the reasons that limited the accuracy, is that **4-electron correlation** effects, though small, are not entirely negligible.

We could afford CCSD(T)-R12 and CCSD[T]-R12 (which are hardly different) (Müller & Kutzelnigg 2000). CCSD-R12 is not sufficient.

Errors of the absolute energies of the order of 100 microhartree, but relative errors much smaller, 0.1 kJ/mol, i.e. significantly better than so-called chemical accuracy (Müller & Kutzelnigg 2000).

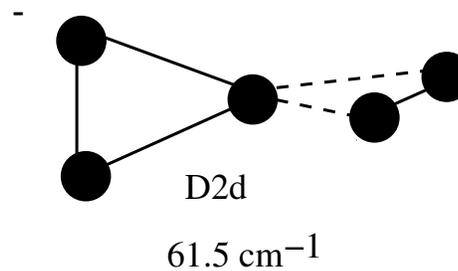
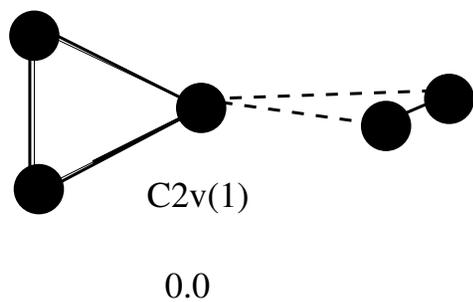
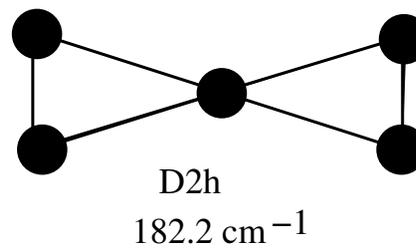
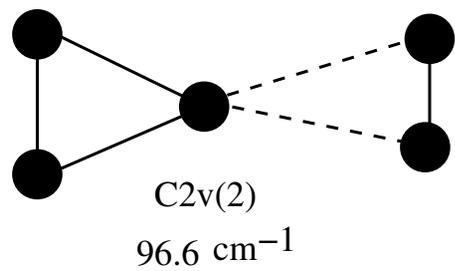


Figure 8: The lowest structures of H<sub>5</sub><sup>+</sup>

Table 10: Distances and angles in  $H_5^+$

	$r_1$	$r_3$	$\alpha_1$	$r_4$
$H_3^+ + H_2$	0.873	0.873	$60^\circ$	0.741
$H_5^+, C_{2v}$	0.816	0.966	$50^\circ$	0.765
$H_5^+, D_{2d}, D_{2h}$	0.780	1.125	$41^\circ$	0.780
$H_2 + H^+ + H_2$	0.741			0.741

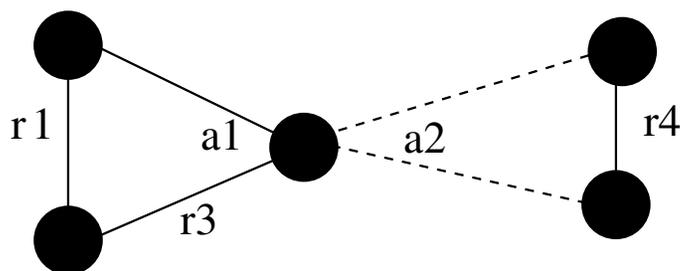


Figure 9: Geometrical parameters of  $H_5^+$

Hartree-Fock:  $H_5^+$  a rather loose complex between  $H_3^+$  and  $H_2$ .

Electron correlation strongly stabilizes symmetric structures (Ahlricks 1975). In low level of correlation treatment (IEPA)  $D_{2d}$  is lowest.

10 stationary points of the  $H_5^+$  PES (Yamaguchi *et al.* 1987), 4 of these are very close in energy (within less than  $200 \text{ cm}^{-1}$ ). The other 6 are higher by 1500 to  $3000 \text{ cm}^{-1}$ .

The structures  $C_{2v}(1)$  and  $C_{2v}(2)$  have practically the same geometrical parameters for the subunits  $H_3^+$  and  $H_2$  and also the same distance between them, they only differ in the **twist angle**. Similarly the structures  $D_{2d}$  and  $D_{2h}$  differ significantly only in the **twist angle**.

Taking care of the change in the zero-point energy, beyond the harmonic approximation (Kraemer & Spirko 1994) using a double-well potential for  $H_5^+$ , we get  $D_0$  for  $H_5^+$  w.r.t.  $H_3^+ + H_2$  of  $25.5 \text{ kJ/mol} = 2132 \text{ cm}^{-1}$ , and for  $D_5^+$  of  $28.4 \text{ kJ/mol} = 2374 \text{ cm}^{-1}$ .

Recent Monte Carlo result for the zero-point energy (Xie *et al.* 2005):  $6.33 \text{ kcal/mol} = 26.5 \text{ kJ/mol} = 2215 \text{ cm}^{-1}$  for  $H_5^+$ .

The dynamics of  $\text{H}_5^+$  has been studied by [Kraemer \*et al.\* \(1993\)](#) and [Spirko \*et al.\* \(1994\)](#), and more recently by [Xie \*et al.\* \(2005\)](#).

$\text{H}_5^+$  is a very floppy molecule, all protons are easily scrambled. The [Longuet-Higgins](#) group (1963) has the order 240 (like that of  $\text{CH}_5^+$ ).

The harmonic vibrational frequencies have been evaluated for the  $C_{2v}(1)$  structure ([Prosmiiti \*et al.\* 2001](#)). The two lowest frequencies are 202 and  $506 \text{ cm}^{-1}$ . Their zero-point levels lie high above the 3 next stationary points.

The 4 lowest stationary points have no physical reality. The vibrational ground state is **highly symmetric**, with practically **free internal rotation**.

One must further consider that along the **path through a double well** the zero point energy of the vibrations perpendicular to this path change, which manifests itself as a change of the effective potential for isomerization.

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